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## HQET at order $1/m$ : II. Spectroscopy in the quenched approximation



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### Abstract

Using Heavy Quark Effective Theory with non-perturbatively determined parameters in a quenched lattice calculation, we evaluate the splittings between the ground state and the first two radially excited states of the  $B_s$  system at static order. We also determine the splitting between first excited and ground state, and between the  $B_s^*$  and  $B_s$  ground states to order  $1/m_b$ . The Generalized Eigenvalue Problem and the use of all-to-all propagators are important ingredients of our approach.

*Key words:* Lattice QCD; Heavy Quark Effective Theory; Hadronic Energy Levels

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## 1 Introduction

In recent years, there has been significant progress in determining the spectrum of hadrons containing a  $b$  quark, both experimentally [1–5], and on the lattice [6–10]. A comparison of theory and experiment is of considerable interest for these hydrogen like systems, in particular since Heavy Quark Effective Theory (HQET) [11–14] is applicable and is at the same time an important theoretical tool to isolate new physics in the flavor sector [15].

The extraction of information on excited states from lattice simulations is a difficult problem, since the excited states appear as subleading exponentially decaying contributions to the lattice correlators, which at large times are dominated by the ground state and at small times by the combined contributions of arbitrarily highly excited states, and on top of this are affected by noise. A number of different methods [16–19] have been proposed for overcoming this challenge; recently we have shown that with an efficient use of the generalized eigenvalue problem (GEVP), rigorous statements about the systematic error caused by the admixture of other states can be proven [20]. In particular, we have shown that for a suitable choice of Euclidean times  $t$  and  $t_0$ , the systematic error decays exponentially with an exponent given by an energy gap that can be made large by an appropriately chosen variational basis.

Since their Compton wavelength is much shorter than any realistically achievable lattice spacing,  $b$  quarks cannot be simulated as relativistic quarks on a lattice. A description of heavy-light mesons that is suitable for use in the context of lattice QCD simulations is given by HQET with non-perturbatively determined parameters [21,22]. The parameters necessary to match HQET to QCD at order  $O(1/m_b)$  have been determined in the quenched approximation by our collaboration [23], and the non-perturbative determination for QCD with  $N_f = 2$  dynamical quark flavors is far advanced [24].

In this paper, we present our results for the heavy-light meson spectrum from HQET using the GEVP method. In section 2, we give a brief review of our methods. Details of the simulations and data analysis procedures are given in section 3, and our results for the quenched heavy-light spectrum are presented. Section 4 contains our conclusions. Some technical details of our implementation of all-to-all propagators are relegated to the appendix.

## 2 Methodological Background

### 2.1 Non-perturbative HQET

HQET on the lattice offers a theoretically rigorous approach to the physics of B-mesons since it is based on a strict expansion of QCD correlation functions in powers of  $1/m_b$  around the limit  $m_b \rightarrow \infty$ . Subleading effects are described by insertions of higher dimensional operators whose coupling constants are formally  $O(1/m_b)$  to the appropriate power. This means that HQET can be renormalized and matched to QCD in a

completely non-perturbative way [25], implying the existence of the continuum limit at any fixed order in the  $1/m_b$  expansion.

To fix the notation we write the HQET action at  $O(1/m_b)$  as

$$S_{\text{HQET}} = a^4 \sum_x \{ \mathcal{L}_{\text{stat}}(x) - \omega_{\text{kin}} \mathcal{O}_{\text{kin}}(x) - \omega_{\text{spin}} \mathcal{O}_{\text{spin}}(x) \}, \quad (2.1)$$

$$\mathcal{L}_{\text{stat}}(x) = \bar{\psi}_h(x) (D_0 + \delta m) \psi_h(x), \quad (2.2)$$

$$\mathcal{O}_{\text{kin}}(x) = \bar{\psi}_h(x) \mathbf{D}^2 \psi_h(x), \quad \mathcal{O}_{\text{spin}}(x) = \bar{\psi}_h(x) \boldsymbol{\sigma} \cdot \mathbf{B} \psi_h(x), \quad (2.3)$$

where  $\psi_h$  satisfies  $\frac{1+\gamma_0}{2} \psi_h = \psi_h$ . The parameters  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$  are of order  $1/m_b$ , and  $\delta m$  is the counter-term absorbing the power-divergences of the static quark self energy. The signal-to-noise ratio of large-distance correlation functions is significantly improved by replacing the link  $U(x, 0)$  in the backward covariant derivative  $D_0 f(x) = [f(x) - U^\dagger(x - a\hat{0}, 0)f(x - a\hat{0})]/a$ , with a smeared link [26].

Exponentiating the action of eq. (2.1) would give (non-renormalizable) NRQCD [27]; in order to retain the renormalizability of the static theory, we treat the theory in a strict expansion in  $1/m_b$ , where the  $O(1/m_b)$  parts of the action appear as insertions in correlation functions. For the expectation value of some operator  $O$  this means (ignoring the possibility of explicit  $1/m_b$  operator corrections, which do not affect the energy levels [23])

$$\langle O \rangle = \langle O \rangle_{\text{stat}} + \omega_{\text{kin}} a^4 \sum_x \langle O \mathcal{O}_{\text{kin}}(x) \rangle_{\text{stat}} + \omega_{\text{spin}} a^4 \sum_x \langle O \mathcal{O}_{\text{spin}}(x) \rangle_{\text{stat}} \quad (2.4)$$

where  $\langle O \rangle_{\text{stat}}$  denotes the expectation value in the static approximation.

To fully specify HQET, the parameters  $\delta m$ ,  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$  must be determined by matching to QCD. In order to retain the asymptotic convergence in  $1/m_b$  this matching must be done non-perturbatively, since for a perturbative matching at loop order  $l$ , the  $O(g^{2l})$  truncation error of the static term is much larger than the power corrections to the static limit:

$$\epsilon_{\text{pert}}(l) \propto \bar{g}^{2l}(m_b) \propto [2b_0 \log(m_b/\Lambda_{\text{QCD}})]^{-l} \underset{m_b \rightarrow \infty}{\gg} \frac{\Lambda_{\text{QCD}}}{m_b}. \quad (2.5)$$

A fully non-perturbative determination of the parameters of HQET has been carried out in [23]. Here we employ the same discretization of QCD and HQET and in particular the determined values of  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$ . For further details of the matching and discretization, the reader is referred to [23].

## 2.2 The Generalized Eigenvalue Problem

In this section, we recall the relevant contents of [20]. Starting from some fields  $O_i(x)$  localised on a time slice and their momentum zero projection  $a^3 \sum_{\mathbf{x}} O_i(x) = \tilde{O}_i(x_0)$ , a

matrix of Euclidean space correlation functions,

$$C_{ij}(t) = \langle \tilde{O}_i(t) \tilde{O}_j^*(0) \rangle = \sum_{n=1}^{\infty} e^{-E_n t} \psi_{ni} \psi_{nj}^*, \quad i, j = 1, \dots, N \quad (2.6)$$

$$\psi_{ni} \equiv (\psi_n)_i = \langle 0 | \hat{O}_i | n \rangle \quad E_n < E_{n+1},$$

provides the basis for the GEVP

$$C(t) v_n(t, t_0) = \lambda_n(t, t_0) C(t_0) v_n(t, t_0), \quad n = 1, \dots, N, \quad t > t_0. \quad (2.7)$$

Effective energies for the  $n$ -th energy level are given by

$$E_n^{\text{eff}}(t, t_0) = -\partial_t \log \lambda_n(t, t_0) \equiv -\frac{1}{a} [\log \lambda_n(t + a, t_0) - \log \lambda_n(t, t_0)], \quad (2.8)$$

where  $a$  is the lattice spacing. Provided that  $t_0 > t/2$ , the effective energies converge to the exact energy levels as [20]

$$E_n^{\text{eff}}(t, t_0) = E_n + \mathcal{O}(e^{-\Delta E_{N+1,n} t}), \quad \Delta E_{m,n} = E_m - E_n. \quad (2.9)$$

In HQET, all correlation functions

$$C_{ij}(t) = C_{ij}^{\text{stat}}(t) + \omega_{\text{kin}} C_{ij}^{\text{kin}}(t) + \omega_{\text{spin}} C_{ij}^{\text{spin}}(t) + \mathcal{O}(\omega^2) \quad (2.10)$$

are computed in an expansion in a small parameter,  $\omega \propto 1/m_b$ . Correspondingly, the energy levels expand as

$$E_n^{\text{eff}}(t, t_0) = E_n^{\text{eff,stat}}(t, t_0) + \omega_x E_n^{\text{eff,x}}(t, t_0) + \mathcal{O}(\omega^2) \quad (2.11)$$

$$E_n^{\text{eff,stat}}(t, t_0) = a^{-1} \log \frac{\lambda_n^{\text{stat}}(t, t_0)}{\lambda_n^{\text{stat}}(t + a, t_0)} \quad (2.12)$$

$$E_n^{\text{eff,x}}(t, t_0) = \frac{\lambda_n^x(t, t_0)}{\lambda_n^{\text{stat}}(t, t_0)} - \frac{\lambda_n^x(t + a, t_0)}{\lambda_n^{\text{stat}}(t + a, t_0)} \quad (2.13)$$

where  $x \in \{\text{kin}, \text{spin}\}$ , with the behavior at large time  $t \leq 2t_0$ ,

$$E_n^{\text{eff,stat}}(t, t_0) = E_n^{\text{stat}} + \beta_n^{\text{stat}} e^{-\Delta E_{N+1,n}^{\text{stat}} t} + \dots, \quad (2.14)$$

$$E_n^{\text{eff,x}}(t, t_0) = E_n^x + [\beta_n^x - \beta_n^{\text{stat}} t \Delta E_{N+1,n}^x] e^{-\Delta E_{N+1,n}^{\text{stat}} t} + \dots \quad (2.15)$$

where

$$C^{\text{stat}}(t) v_n^{\text{stat}}(t, t_0) = \lambda_n^{\text{stat}}(t, t_0) C^{\text{stat}}(t_0) v_n^{\text{stat}}(t, t_0), \quad (2.16)$$

$$\frac{\lambda_n^x(t, t_0)}{\lambda_n^{\text{stat}}(t, t_0)} = (v_n^{\text{stat}}(t, t_0), [\lambda_n^{\text{stat}}(t, t_0)]^{-1} C^x(t) - C^x(t_0)) v_n^{\text{stat}}(t, t_0).$$

with  $(v_m^{\text{stat}}(t, t_0), C^{\text{stat}}(t_0) v_n^{\text{stat}}(t, t_0)) = \delta_{mn}$ . We note that the GEVP is only ever solved for the static correlator matrices.

### 2.3 Mass splittings to $O(1/m_b)$

With the static Lagrangian eq. (2.2), all HQET energies satisfy exactly  $E_n = E_n|_{\delta m=0} + \frac{1}{a} \log(1 + a\delta m)$ , and the power divergent  $\delta m$  drops out in energy differences. Since we only consider these in this paper, we need just  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$  of [23].

The excitation energies at the static order of HQET are given simply by the differences of the static energies,

$$\Delta E_{n,1}^{\text{stat}} = E_n^{\text{stat}} - E_1^{\text{stat}}, \quad (2.17)$$

and at order  $1/m_b$ , the excitation energies of pseudoscalar  $B_s$  states become

$$\Delta E_{n,1}^{\text{HQET}} = (E_n^{\text{stat}} - E_1^{\text{stat}}) + \omega_{\text{kin}}(E_n^{\text{kin}} - E_1^{\text{kin}}) + \omega_{\text{spin}}(E_n^{\text{spin}} - E_1^{\text{spin}}). \quad (2.18)$$

At the static order, the masses of pseudoscalar and vector states are degenerate due to spin symmetry [13]. This degeneracy is lifted at the  $O(1/m_b)$  level by the contribution from  $\mathcal{O}_{\text{spin}}$ , giving a  $B_s - B_s^*$  mass difference of

$$\Delta E_{P-V} = \frac{4}{3} \omega_{\text{spin}} E_1^{\text{spin}}. \quad (2.19)$$

## 3 Simulation details and results

### 3.1 Lattice parameters

We use three quenched ensembles of 100 configurations each, generated using the Wilson gauge action with parameters  $\beta = 6.0219, 6.2885$  and  $6.4956$ . The physical volume was kept constant at  $L \approx 1.5$  fm, leading to  $L/a = 16, 24, 32$  for the three ensembles. We used time extent  $T = 2L$  throughout.

The static quark is discretized on each ensemble with both the HYP1 and HYP2 [26, 28, 29] actions. The light valence quark is discretized by a non-perturbatively  $O(a)$ -improved Wilson action [30, 31], and its mass was fixed to the strange quark mass, giving  $\kappa_s = 0.133849, 0.1349798, 0.1350299$ , respectively [32]. A summary of the simulation parameters used, with the corresponding values of  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$ , is given in Table 1.

### 3.2 Measurements of correlation functions

The strange quark propagators are computed through a variant of the Dublin all-to-all method [34]. We use approximate instead of exact low modes (the method remains exact) and employ even-odd preconditioning in order to reduce the size of the stochastically estimated inverse of the Dirac operator by a factor of 2. The reader is referred to appendix A for details.

The interpolating fields are constructed using quark bilinears

$$\begin{aligned} O_k(x) &= \bar{\psi}_h(x) \gamma_0 \gamma_5 \psi_1^{(k)}(x) \\ O_k^*(x) &= \bar{\psi}_1^{(k)}(x) \gamma_0 \gamma_5 \psi_h(x) \end{aligned} \quad (3.1)$$

$\beta$	$r_0/a$	$(L/a)^3 \times T/a$	$\kappa_s$	$N_L$	$N_\eta$	$\omega_{\text{kin}}/a$	$\omega_{\text{spin}}/a$
6.0219	5.6	$16^3 \times 32$	0.133849	50	2	0.330(5)	0.55(4)
6.2885	8.4	$24^3 \times 48$	0.1349798	50	2	0.439(7)	0.71(5)
6.4956	11.0	$32^3 \times 64$	0.1350299	0	4	0.553(9)	0.87(6)

**Table 1:** Parameters of the simulations: inverse coupling  $\beta$ , approximate value of the scale parameter  $r_0$  [33] in lattice units, spacetime volume, hopping parameter for the strange quark mass [32], number of low-lying eigenmodes and number of noise sources used in the all-to-all [34] estimate of the strange quark propagators, and values of the HQET couplings  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$  [23] for the HYP2 action in lattice units.

built from the static quark field  $\psi_h(x)$  and different levels of Gaussian smearing [35] for the light quark field

$$\psi_1^{(k)}(x) = (1 + \kappa_G a^2 \Delta)^{R_k} \psi_1(x), \quad (3.2)$$

where the gauge fields in the covariant Laplacian  $\Delta$  are first smeared with 3 iterations of (spatial) APE smearing [36, 37] to reduce noise. At  $\beta = 6.2885$ , we use  $R_k = 0, 22, 45, 67, 90, 135, 180, 225$  with  $\kappa_G = 0.1$ . At the other values of  $\beta$ , we rescale the values of  $R_k$  used so that the physical size  $r_{\text{phys},k} \approx 2a\sqrt{\kappa_G R_k}$  of the wavefunctions is kept fixed; in particular we keep  $r_{\text{max}} = r_{\text{phys},7} \approx 0.6$  fm.

For these bilinears, we compute the following correlators:

$$\begin{aligned} C_{ij}^{\text{stat}}(t) &= \sum_{x, \mathbf{y}} \langle O_i(x_0 + t, \mathbf{y}) O_j^*(x) \rangle_{\text{stat}}, \\ C_{ij}^{\text{kin/spin}}(t) &= \sum_{x, \mathbf{y}, z} \langle O_i(x_0 + t, \mathbf{y}) O_j^*(x) \mathcal{O}_{\text{kin/spin}}(z) \rangle_{\text{stat}} \end{aligned} \quad (3.3)$$

with the  $O(1/m_b)$  fields defined in eq. (2.3).

### 3.3 Determination of energies

The correlator matrices of eq. (3.3) are “thinned” to form a sequence of  $N \times N$  matrices, where  $N \in \{2, \dots, 7\}$ , by selecting only entries from a certain subset  $\mathcal{I}_N$  of indices where  $\mathcal{I}_N = \{1, 7\}, \{1, 4, 7\}, \{1, 3, 5, 7\}, \{1, 2, 4, 6, 7\}, \{1, 2, 3, 5, 6, 7\}, \{1, 2, 3, 4, 5, 6, 7\}$ . An alternative procedure, used in [20] is “pruning” [38], where the  $N \times N$  matrices are formed by projection onto the subspaces spanned by the lowest  $N$  eigenvectors of  $C(t_i)$  for some small  $t_i$ . We decided not to use this version since it introduces a dependence on the relative normalization of the fields  $O_i$ . Moreover, with thinning we found a somewhat faster convergence to the plateau for the ground state energy compared to the pruning version [39] when the normalization of  $O_i$  in [20] is used. We note that the fact that we found the same low-lying energy levels with thinning as with pruning is a

$n$	2	3	4	5	6
$r_0(E_n^{\text{stat}} - E_1^{\text{stat}})$	1.50(5)	2.7(1)	4.0	5.0	6.0

**Table 2:** Rough estimate of the static spectrum. For  $n \leq 3$ , the gaps come from the continuum limit; for  $n \geq 4$ , the rough estimate used to stabilize the fit is quoted.

further confirmation that the GEVP is quite robust against changes of the variational basis employed.

For each of the resulting  $N \times N$  correlator matrices, we solve the static GEVP and compute the static and  $\mathcal{O}(1/m_b)$  energies. This gives a series of estimates  $E_n^{\text{eff,stat}}(N, t, t_0)$ ,  $E_n^{\text{eff,kin}}(N, t, t_0)$  and  $E_n^{\text{eff,spin}}(N, t, t_0)$  with associated statistical errors, which we determine by a full Jackknife analysis.

To arrive at final numbers for  $E_n$  we also need to estimate the size of the systematic errors coming from the higher excited states. To do this, we first perform a fit of the form

$$\begin{aligned} E_n^{\text{eff,stat}}(N, t, t_0) &= E_n^{\text{stat}} + \epsilon_n^{N,\text{stat}}(t) \\ &= E_n^{\text{stat}} + \beta_{n,N}^{\text{stat}} e^{-(E_{N+1}^{\text{stat}} - E_n^{\text{stat}})t} \end{aligned} \quad (3.4)$$

to the GEVP results for  $E_n^{\text{eff,stat}}(N, t, t_0)$ , fitting the data at  $N = 3, \dots, 5$ ,  $\frac{1}{2}r_0 < t_0 \leq 6a$ ,  $t_0 \leq t \leq 2t_0$  and  $n = 1, \dots, 6$  simultaneously. The stability of the fit is enhanced in the following manner: First we perform an unconstrained fit to extract  $E_n^{\text{stat}} - E_1^{\text{stat}}$  for  $n = 4, 5, 6$  for each lattice spacing and action and compute a rough average of  $r_0(E_n^{\text{stat}} - E_1^{\text{stat}})$  for these values of  $n$ . Then we repeat the fit, constraining  $r_0(E_n^{\text{stat}} - E_1^{\text{stat}})$  for  $n \geq 4$  to the previous average (this renders the fit linear). For  $n \leq 3$  this is unnecessary as these levels are well determined at each individual lattice spacing. We list the extracted values of  $r_0(E_n^{\text{stat}} - E_1^{\text{stat}})$  in Table 2.

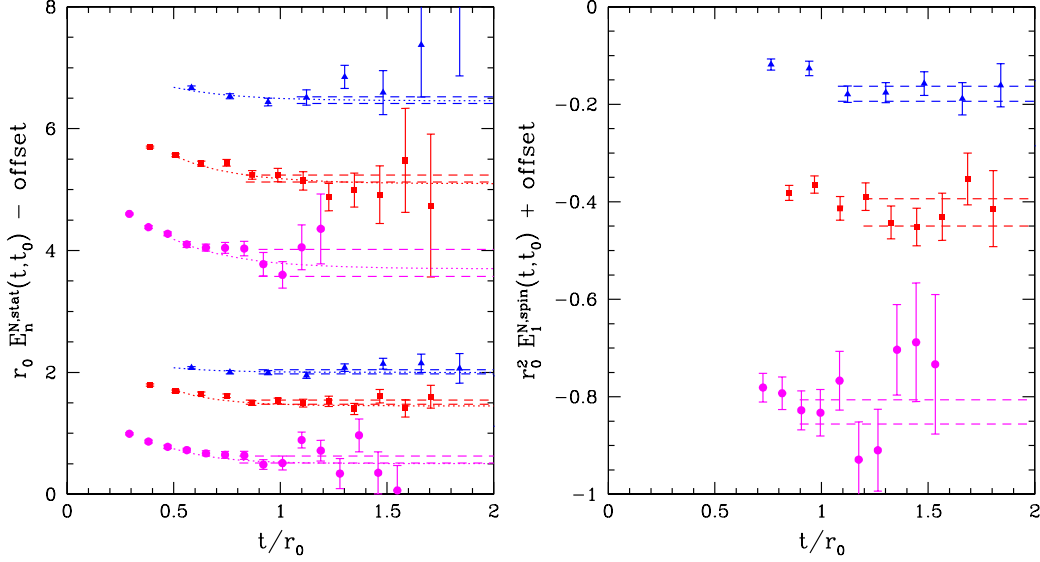
Finally, using the values of  $E_n^{\text{stat}}$  and  $\beta_{n,N}^{\text{stat}}$  determined from this fit as (fixed) input parameters, we fit  $E_n^{\text{eff,kin}}(N, t, t_0)$  and  $E_n^{\text{eff,spin}}(N, t, t_0)$  by

$$\begin{aligned} E_n^{\text{eff,kin}}(N, t, t_0) &= E_n^{\text{kin}} + \epsilon_n^{N,\text{kin}}(t) \\ &= E_n^{\text{kin}} + \left[ \beta_{n,N}^{\text{kin}} - \beta_{n,N}^{\text{stat}} t (E_{N+1}^{\text{kin}} - E_n^{\text{kin}}) \right] e^{-(E_{N+1}^{\text{stat}} - E_n^{\text{stat}})t} \end{aligned} \quad (3.5)$$

$$\begin{aligned} E_n^{\text{eff,spin}}(N, t, t_0) &= E_n^{\text{spin}} + \epsilon_n^{N,\text{spin}}(t) \\ &= E_n^{\text{spin}} + \left[ \beta_{n,N}^{\text{spin}} - \beta_{n,N}^{\text{stat}} t (E_{N+1}^{\text{spin}} - E_n^{\text{spin}}) \right] e^{-(E_{N+1}^{\text{stat}} - E_n^{\text{stat}})t} \end{aligned} \quad (3.6)$$

in the same manner.

While the fitted results are quite stable, we consider them as rough estimates only, since our fits include only the leading exponential correction, and there are systematic effects from higher corrections to the GEVP. We therefore employ the fits only to estimate the size of the leading corrections. For a reliable estimate of the energy levels, we calculate plateau averages from  $t = t_{\min} \geq t_0$  on at each  $N$  and  $t_0$ , and take as our final



**Figure 1:** Illustration of some plateaux. Left:  $E_2^{N\text{stat}}$  (bottom half) and  $E_3^{N\text{stat}}$  (upper half). Here  $N = 5$  and within each group the lattice spacing is decreasing from top to bottom. Dotted lines represent the global fit, while dashed lines indicate the chosen plateau. Right: the ground state spin splitting. The ordinates of the points are shifted by an arbitrary  $\beta$ -dependent offset in order to make the different plateaux visible separately.

estimate that plateau average for which the sum  $\sigma_{\text{tot}} = \sigma_{\text{stat}} + \sigma_{\text{sys}}$  of the statistical error  $\sigma_{\text{stat}}$  of the plateau average and the maximum systematic error  $\sigma_{\text{sys}} = \epsilon(t_{\min})$  becomes minimal, subject to the constraint that  $\sigma_{\text{sys}} < \frac{1}{3}\sigma_{\text{stat}}$ . We impose the latter constraint in order to ensure that the total error is dominated by statistical errors.

An illustration of the more problematic plateaux is shown in Fig. 1. It is rather clear that without some analysis of corrections due to excited states it is very difficult to locate a safe plateau region at least for  $n = 3$ .

Our results are given in Table 3; besides the plateaux found by the method described in the preceding paragraph, we also show the results of the global fit, which in almost all cases agrees very well with the final plateau value.

### 3.4 Continuum limit

We now turn to the continuum extrapolation of the level splittings. Using the fact that the static actions employed are discretizations of the same continuum theory, we perform a combined continuum limit by fitting a function of the form ( $k = 1, 2$  for HYP1, HYP2 actions)

$$\Phi_{i,k}(a/r_0) = \Phi_i + c_{i,k}(a/r_0)^{s_i} \quad (3.7)$$



$\beta$	Observable	HYP1		HYP2	
		Fit	Plateau	Fit	Plateau
6.0219	$aE_1^{\text{stat}}$	0.4407(2)	0.441(1)	0.4081(2)	0.409(1)
	$aE_2^{\text{stat}}$	0.708(2)	0.711(5)	0.678(2)	0.680(6)
	$aE_3^{\text{stat}}$	0.893(5)	0.92(2)	0.868(5)	0.89(2)
	$a^2 E_1^{\text{kin}}$	0.743(1)	0.740(2)	0.774(1)	0.771(2)
	$a^2 E_2^{\text{kin}}$	0.843(8)	0.85(1)	0.859(7)	0.88(1)
	$a^2 E_1^{\text{spin}}$	-0.0300(5)	-0.0293(7)	-0.0285(4)	-0.0279(8)
	$a^2 E_2^{\text{spin}}$	-0.026(2)	-0.029(3)	-0.023(2)	-0.025(2)
6.2885	$aE_1^{\text{stat}}$	0.3319(2)	0.3328(7)	0.3032(2)	0.3041(7)
	$aE_2^{\text{stat}}$	0.507(1)	0.515(4)	0.478(1)	0.485(4)
	$aE_3^{\text{stat}}$	0.648(3)	0.67(1)	0.614(3)	0.625(7)
	$a^2 E_1^{\text{kin}}$	0.6479(5)	0.6481(4)	0.6743(4)	0.6745(3)
	$a^2 E_2^{\text{kin}}$	0.682(4)	0.68(1)	0.704(4)	0.70(1)
	$a^2 E_1^{\text{spin}}$	-0.0127(1)	-0.0126(2)	-0.0129(1)	-0.0130(3)
	$a^2 E_2^{\text{spin}}$	-0.0115(9)	-0.011(1)	-0.011(1)	-0.012(1)
6.4956	$aE_1^{\text{stat}}$	0.2742(3)	0.275(1)	0.2482(3)	0.249(1)
	$aE_2^{\text{stat}}$	0.409(2)	0.405(8)	0.384(2)	0.381(8)
	$aE_3^{\text{stat}}$	0.518(3)	0.52(2)	0.491(3)	0.50(2)
	$a^2 E_1^{\text{kin}}$	0.5999(5)	0.5997(3)	0.6240(3)	0.6229(7)
	$a^2 E_2^{\text{kin}}$	0.620(3)	0.625(6)	0.645(2)	0.645(4)
	$a^2 E_1^{\text{spin}}$	-0.0081(1)	-0.0079(5)	-0.0076(1)	-0.0074(4)
	$a^2 E_2^{\text{spin}}$	-0.0108(9)	-0.005(4)	-0.0098(8)	-0.007(2)

**Table 3:** The measured values of the HQET energies in lattice units. Shown are both the values obtained from a global fit (“Fit”) and from our more conservative plateau selection (“Plateau”) as described in the text, for both the HYP1 and HYP2 discretization of the heavy quark.

		$\beta = 6.0219$	$\beta = 6.2885$	$\beta = 6.4956$	cont. limit
$r_0\Delta E_{2,1}^{\text{stat}}$	HYP1	1.50(3)	1.52(9)	1.46(8)	1.50(5)
	HYP2	1.51(3)	1.51(3)	1.47(8)	
$r_0\Delta E_{3,1}^{\text{stat}}$	HYP1	2.67(10)	2.78(9)	2.7(2)	2.7(1)
	HYP2	2.72(10)	2.68(6)	2.8(2)	
$r_0\Delta E_{2,1}^{1/m}$	HYP1	0.20(2)	0.14(4)	0.17(5)	0.03(6)
	HYP2	0.21(2)	0.08(4)	0.13(3)	
$r_0\Delta E_{2,1}^{\text{HQET}}$	HYP1	1.70(4)	1.66(6)	1.63(10)	1.56(8)
	HYP2	1.72(4)	1.59(6)	1.59(9)	
$r_0\Delta E_{2,1}^{\text{stat}} _{\text{continuum}} + r_0\Delta E_{2,1}^{1/m} _{\text{continuum}}$					1.54(9)
$r_0\Delta E_{P-V}$	HYP1	-0.093(7)	-0.083(6)	-0.089(8)	-0.075(8)
	HYP2	-0.114(9)	-0.103(8)	-0.092(7)	

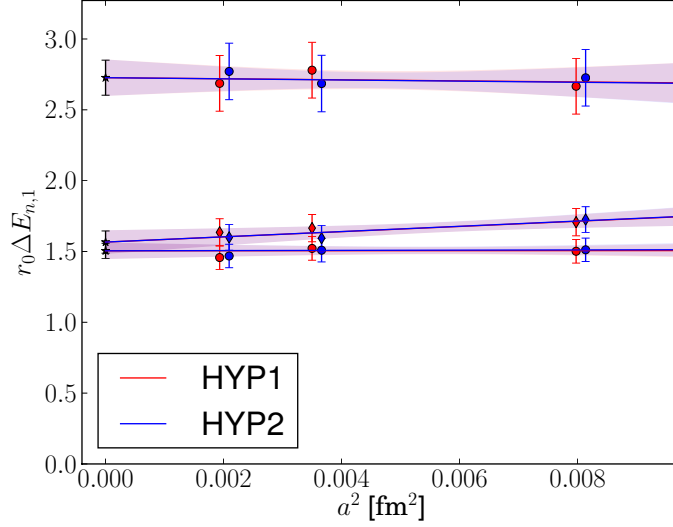
**Table 4:** The energy level differences in units of the scale  $r_0$ . Shown are the results at each  $\beta$  for both static-quark actions, together with their common continuum limit.

to our dimensionless quantities  $\Phi_i \in \{r_0\Delta E_{2,1}^{\text{stat}}, r_0\Delta E_{3,1}^{\text{stat}}, r_0\Delta E_{P-V}, r_0\Delta E_{2,1}^{\text{HQET}}\}$ . Since  $O(a)$ -improvement is fully implemented in the static approximation, we use powers  $s_1 = s_2 = 2$ . On the other hand, the  $1/m_b$  corrections have  $O(a)$  discretization errors, yielding  $s_3 = 1$  for the observable  $\Delta E_{P-V}$ . For  $\Delta E_{n,1}^{\text{HQET}}$ , there are two possible ways of taking the continuum limit. First we extrapolate  $\Delta E_{n,1}^{\text{stat}}$  and the  $O(1/m_b)$  contribution separately to  $a \rightarrow 0$  and add the continuum limits afterwards. Here we set  $s_4^{\text{stat}} = 2$  for the static part and  $s_4^{1/m} = 1$  for the  $O(1/m_b)$  correction. Second, one can form the combined  $\Delta E_{n,1}^{\text{HQET}}$  at each  $\beta$  and take the continuum limit of the combination. The linear term in  $a$  which is present in the combined data, is suppressed by a factor  $1/m_b$ . Given in addition the flatness of the data in  $a$  (see Fig. 2) we just use  $s_4 = 2$  for the combination, assuming that this term dominates.

Figure 2 shows the approach to the continuum limit for the static and full HQET energy splittings. The results for HYP1 and HYP2 are distinguished by color; the static splittings are shown as circles, whereas the full HQET splitting  $\Delta E_{2,1}^{\text{HQET}}$  is shown as diamonds. Also shown are the fits to the continuum limit together with their error bands. We see that the approach to the continuum limit is rather flat in particular for  $\Delta E_{2,1}$ , and that the  $O(1/m_b)$  corrections constitute only a small shift of the energy splitting between the first excited and ground states. In Fig. 3 we show the approach to the continuum limit for the spin splitting  $\Delta E_{P-V}$  in the same fashion.

### 3.5 Results

Our findings for the continuum values of the level splittings are summarized in Table 4.



**Figure 2:** Plot of the continuum limits (stars) of  $\Delta E_{n,1}^{\text{stat}}$  (circles) and  $\Delta E_{2,1}^{\text{HQET}}$  (diamonds). Shown are the results for both HYP1 (red, shifted to the left) and HYP2 (blue, shifted to the right).

For the static spectrum, we obtain

$$r_0 \Delta E_{2,1}^{\text{stat}} = 1.50(5) \quad (3.8)$$

$$r_0 \Delta E_{3,1}^{\text{stat}} = 2.7(1), \quad (3.9)$$

corresponding (using  $r_0 = 0.5$  fm) to  $\Delta E_{2,1}^{\text{stat}} = 594(21)$  MeV and  $\Delta E_{3,1}^{\text{stat}} = 1076(48)$  MeV in good agreement with the results of [10] at a fixed lattice spacing. These numbers in physical units are meant as a rough illustration, since no quenching error is attached to them.

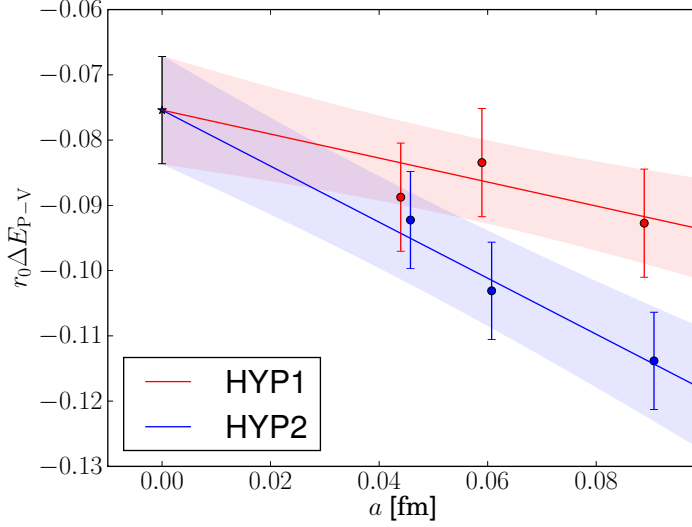
At  $\mathcal{O}(1/m_b)$ , we obtain  $r_0 \Delta E_{2,1}^{\text{HQET}} = 1.54(9)$  when taking separate continuum limits for the static and  $\mathcal{O}(1/m_b)$  energy differences, and

$$r_0 \Delta E_{2,1}^{\text{HQET}} = 1.56(8) \quad (3.10)$$

when combining static and  $\mathcal{O}(1/m_b)$  energy levels before taking the continuum limit. The results from both procedures agree within errors, indicating that the  $\mathcal{O}(a)$  term omitted in the combined extrapolation is at most a minor source of systematic error. In physical units (again using  $r_0 = 0.5$  fm), our results correspond to  $\Delta E_{2,1}^{\text{HQET}} = 606(35)$  MeV and  $617(31)$  MeV, respectively.

For the  $B_s - B_s^*$  mass difference, we find

$$r_0 \Delta E_{P-V} = -0.075(8) \quad (3.11)$$



**Figure 3:** Plot of the continuum limit (star) of  $\Delta E_{P-V}$  for HYP1 (red, shifted to the left) and HYP2 (blue, shifted to the right).

giving  $\Delta E_{P-V} = -29.8(3.2)$  MeV via  $r_0 = 0.5$  fm. This is to be contrasted to the experimental value [40] of  $m_{B_s} - m_{B_s^*} = -49.0(1.5)$  MeV. We note that while  $O(1/m_b^2)$  effects may not be entirely negligible for such a small splitting, the difference is too large to be entirely attributed to these. Instead, a genuine quenching effect is involved.

The spin splitting between the first radial excitations in the pseudoscalar and vector channels is

$$r_0 \Delta E_{P'-V'} = -0.056(27), \quad (3.12)$$

which is compatible with the spin splitting between the ground states.

Our results confirm the expectation that the  $O(1/m_b)$  contributions are small compared to the static results. To get an idea of the size of higher order corrections in  $1/m_b$ , we have considered the dependence of the energy level splittings on the matching conditions chosen in the determination [23] of the HQET parameters  $\omega_{\text{kin}}$  and  $\omega_{\text{spin}}$ . We find that the dependence is very minor, with a maximum deviation of  $\delta|r_0 \Delta E_{P-V}| = 0.005$ , less than the statistical errors, and  $\delta|r_0 \Delta E_{2,1}^{1/m}| = 0.002$ , much less than the statistical errors. Comparing this to the naive power counting estimate of  $|O(1/m_b^2)| \sim (1/(r_0 m_b))^2 \sim 1/100$ , we see that the tested  $1/m_b^2$  terms are as small as expected or even smaller. Note that  $1/r_0 \approx 400$  MeV is indeed a typical non-perturbative QCD scale.

## 4 Conclusions

In this paper, we have reported results from quenched lattice QCD for the spectroscopy of the low-lying excited states of the  $B_s$  and  $B_s^*$  systems. An application of the generalized eigenvalue method with all-to-all propagators to non-perturbative HQET at  $O(1/m_b)$  allows us to extract precise results for the energies of the lowest-lying radial excitations as well as for the  $B_s - B_s^*$  splitting. However, we emphasize again that a careful analysis of systematic errors due to excited state contaminations is necessary.

A first relevant observation to be pointed out concerns the renormalizability of HQET. Unlike for QCD on and off the lattice, there is no proof of renormalizability of the theory to all orders of perturbation theory. However, we find that in our non-perturbative computations the divergences cancel after proper renormalization of HQET [23]. The left over lattice-spacing dependence in Fig. 2, Fig. 3 is very flat. To appreciate this, note that

$$r_0^2 E_1^{\text{kin}} \approx (24, 47, 77) \text{ for } a = (0.1, 0.08, 0.05) \text{ fm} \quad (4.1)$$

as seen in Table 3 and weaker but still very prominent divergences are present in  $E_n^{\text{stat}}$ . In other words we find strong numerical evidence for the renormalizability of the theory; in fact also the universality of the continuum limit is demonstrated in the figures. It is also worth emphasizing that the present demonstration is the first time the continuum limit is taken for mass splittings in HQET.

We find the physical  $O(1/m_b)$  corrections to be small throughout.

The precision attained, in particular when taken together with the relative smallness of the  $O(1/m_b)$  effects, indicates that non-perturbative HQET combined with the use of the GEVP for data analysis is a reliable method for determining  $B$  meson spectra. We intend to apply it to the  $N_f = 2$  case in the near future. In this context one should remark that we were able to achieve good precision using only 100 configurations in our quenched study. Therefore we do expect to be able to decrease the errors for dynamical fermions. However the influence of topological modes being updated only slowly [41] needs to be controlled or better algorithms with a faster decorrelation need to be used. A promising proposal has been made in [42].

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## A All-to-all propagators

In this appendix, we explain the details of our implementation of all-to-all propagators, which follows the idea of [34] with some useful improvements.

### A.1 Even-odd preconditioning

To reduce the computational effort and storage requirement for the matrix inversions, we consider even-odd preconditioning of the (hermitian) Wilson-Dirac operator  $Q = 2\kappa\gamma_5 D$ . With even/odd ordering of the sites one has a block structure

$$Q = \gamma_5 \begin{pmatrix} M_{ee} & M_{eo} \\ M_{oe} & M_{oo} \end{pmatrix},$$

where  $M_{ee}$  ( $M_{oo}$ ) differs from unity by the clover term on the even (odd) sites, and  $M_{oe}$  ( $M_{eo}$ ) is the hopping term. Defining

$$B \equiv \begin{pmatrix} \mathbb{1}_e & -M_{ee}^{-1}M_{eo} \\ 0 & \mathbb{1}_o \end{pmatrix},$$

the preconditioned matrix  $B^\dagger Q B$  is block-diagonal and the propagator can be factorized as

$$Q^{-1} = B \begin{pmatrix} \hat{Q}_{ee}^{-1} & 0 \\ 0 & \hat{Q}_{oo}^{-1} \end{pmatrix} B^\dagger, \quad (\text{A.1})$$

where  $\hat{Q}_{ee} = \gamma_5 M_{ee}$  is diagonal in space-time, and  $\hat{Q}_{oo} = \gamma_5 (M_{oo} - M_{oe} M_{ee}^{-1} M_{eo}) = \hat{Q}_{oo}^\dagger$ .

### A.2 Approximate low modes and a stochastic estimator

We consider an orthonormal basis  $\{\hat{v}_i : i = 1 \dots N_L\}$  of an  $N_L$  dimensional subspace ( $N_L \geq 0$ ) of all fermion fields which live only on odd sites. Defining the projectors

$$\mathbf{P}_L \equiv \sum_{i=1}^{N_L} \hat{v}_i \cdot \hat{v}_i^\dagger \quad \text{and} \quad \mathbf{P}_H \equiv \mathbb{1}_o - \mathbf{P}_L,$$

we can write

$$\hat{Q}_{oo}^{-1} = \hat{Q}_{oo}^{-1} (\mathbf{P}_L + \mathbf{P}_H) = \sum_{i=1}^{N_L} (\hat{Q}_{oo}^{-1} \hat{v}_i) \cdot \hat{v}_i^\dagger + \hat{Q}_{oo}^{-1} \mathbf{P}_H. \quad (\text{A.2})$$

A natural choice for  $\hat{v}_i$  are approximate eigenvectors of the low-lying eigenvalues of  $\hat{Q}_{oo}$

$$\hat{Q}_{oo} \hat{v}_i = \lambda_i \hat{v}_i + \hat{r}_i. \quad (\text{A.3})$$

with  $\|\hat{v}_i\| = 1$  and  $\hat{v}_i^\dagger \hat{r}_k = 0$ . Then, the part  $\hat{Q}_{oo}^{-1} \mathbf{P}_L$  in (A.2) is expected to approximate the long-distance behaviour of the propagator [34, 43], and the inversions<sup>1</sup> needed in  $(\hat{Q}_{oo}^{-1} \hat{v}_i)$  are cheap.

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<sup>1</sup> Since we do not explicitly use  $\hat{Q}_{oo}^{-1} \hat{v}_i \approx \lambda_i^{-1} \hat{v}_i$ , the errors  $\|\hat{r}_i\|$  in (A.3) are allowed to be large. In practice, we require  $\|\hat{r}_i\| \leq 0.001 \cdot |\lambda_i|$ , and take  $\lambda_i^{-1} \hat{v}_i$  only as start vectors for the inversion.

On the other hand, we can introduce a stochastic estimator for  $\mathbf{P}_H$ . We take random vectors  $\eta_i$  with

$$\langle \eta_{i,\alpha} \rangle_\eta = 0, \quad (\text{A.4})$$

$$\langle \eta_{i,\alpha} \eta_{j,\beta}^* \rangle_\eta = \delta_{ij} \delta_{\alpha\beta}, \quad (\text{A.5})$$

$$\langle \eta_{i,\alpha} \eta_{j,\beta} \rangle_\eta = 0, \quad (\text{A.6})$$

where  $\alpha, \beta$  denote combined (color, Dirac, and site) indices, and  $\langle \cdot \rangle_\eta$  is the average over  $\eta$ . The relations (A.4)–(A.6) hold, for instance, in the case of a  $U(1)$  noise

$$\eta_{i,\alpha} = \exp(i\phi_{i,\alpha}),$$

where  $\phi_{i,\alpha}$  are independently uniformly distributed in  $[0, 2\pi)$  (while for  $Z_2$  noise the average in (A.6) would not vanish for  $i = j$ ). Thus, the second term in (A.2) can be written as

$$\hat{Q}_{oo}^{-1} \mathbf{P}_H = \frac{1}{N_\eta} \sum_{i=1}^{N_\eta} \langle \hat{Q}_{oo}^{-1} \mathbf{P}_H \eta_i \cdot \eta_i^\dagger \rangle_\eta, \quad (\text{A.7})$$

and the estimator of  $\hat{Q}_{oo}^{-1}$  can be written as a sum of dyadic products

$$\hat{Q}_{oo}^{-1} = \sum_{i=1}^{N_L+N_\eta} \langle \hat{w}_i \cdot \hat{u}_i^\dagger \rangle_\eta, \quad (\text{A.8})$$

with<sup>2</sup>

$$\begin{aligned} \hat{w}_i &= \hat{Q}_{oo}^{-1} \hat{u}_i, & \hat{u}_i &= \hat{v}_i & (i = 1, \dots, N_L) \\ \hat{w}_i &= \hat{Q}_{oo}^{-1} \mathbf{P}_H \hat{u}_i, & \hat{u}_i &= N_\eta^{-1/2} \eta_i & (i = N_L+1, \dots, N_L+N_\eta) \end{aligned}$$

The full propagator  $Q^{-1}$  is then obtained from (A.1). Since  $B$  connects only adjacent time slices, the block  $\hat{Q}_{ee}^{-1}$  does not contribute to the propagator between sites with time separation  $|x_0 - y_0| > 2a$ . In this case, we can simply write

$$Q^{-1} = \sum_{i=1}^{N_L+N_\eta} \langle w_i \cdot u_i^\dagger \rangle_\eta, \quad (\text{A.9})$$

with

$$w_i \equiv B \begin{pmatrix} 0 \\ \hat{w}_i \end{pmatrix} \quad \text{and} \quad u_i \equiv B \begin{pmatrix} 0 \\ \hat{u}_i \end{pmatrix}.$$

Even-odd preconditioning can be seen as a form of dilution [34], since there are only half as many components of the noise field  $\eta$  in the even-odd preconditioned case as without preconditioning. Note, however, that unlike other dilution schemes, even-odd preconditioning does not increase the number of inversions needed.

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<sup>2</sup> One may also use  $\hat{w}_i = \hat{Q}_{oo}^{-1} \hat{u}_i$  and  $\hat{u}_i = N_\eta^{-1/2} \mathbf{P}_H \eta_i$  for  $i = N_L+1, \dots, N_L+N_\eta$ , but we have not tested this option.

### A.3 Time dilution

In addition, we use the more conventional time dilution scheme. It is implemented by replacing  $\mathbf{P}_H$  in (A.2) by  $\mathbf{P}_H \sum_t \mathbf{P}_t$  where  $\mathbf{P}_t$  projects on the components corresponding to (odd) sites with time coordinate  $t$ . Then, an independent stochastic estimator is introduced for each term

$$\mathbf{P}_H \mathbf{P}_t = \frac{1}{N_\eta} \sum_{i=1}^{N_\eta} \langle (\mathbf{P}_H \eta_{ti}) \cdot \eta_{ti}^\dagger \rangle_\eta,$$

where the noise vectors  $\eta_{ti}$  have non-vanishing components only for (odd) sites on time-slice  $t$ .

Note that due to the hopping term in  $B$  the full propagator (A.9) from time slice  $x_0$  to  $y_0$  receives contributions from noise vectors  $\eta_{ti}$  on three time slices,  $t = x_0, x_0 \pm a$ , i.e. three inversions are required for the propagator from one time slice  $x_0$ . However, a total of  $T$  inversions is sufficient, and hence no extra effort is required, if one computes the propagator for all  $x_0$ , as we do in our measurements.

Analysing the variance of a heavy-light two-point correlator as described in [44], one sees that the variance with time dilution decays roughly as  $e^{-(x_0-y_0)m_\pi}$ , while the expression without time dilution contains pieces independent of  $x_0 - y_0$ . This renders time dilution very profitable.

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